



SECTOR #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stanton et al.

Group Art Unit: 1645

Serial No.: 09/641,801

Examiner: Unknown

Confirmation No.: Unknown

Docket No.: 265.0023 0101

Filed: August 17, 2000

Title: USE OF COLOSTRININ, CONSTITUENT PEPTIDES THEREOF, AND ANALOGS THEREOF FOR INDUCING CYTOKINES

Assistant Commissioner for Patents

Attn: Box Missing Parts

Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ A return postcard.  
☒ A Petition for Extension of Time (1 pg.) for 4 months and a check in the amount of \$1,390.00 for the required fee.  
☒ Checks in the amount of \$130.00 for missing parts surcharge, and \$1,212.00 for the filing fee and additional claims fee.  
☒ Other: Communication Re: Missing Parts (1 pg.); Declaration executed by Stanton, Hughes, Jr., and Boldogh (4 pgs.); Declaration executed by Georgiades (4 pgs.); Part B - Notice to File Missing Parts of Nonprovisional Application (1 pg.); Request for Corrected Filing Receipt (1 pg.) and attached copy of Filing Receipt w/correction in red (1 pg.)  
☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

APPLICATION FILING FEE					
	Number of Claims Filed (1)	Claims Included in Basic Filing Fee (2)	Number of Extra Claims (1-2)	Cost per Extra Claim	Fee Required
Total Claims	36	- 20 =	16	x \$18 =	288
Independent Claims	6	- 3 =	3	x \$78 =	234
One or More Multiple Dependent Claims Presented? If Yes, Enter \$260 Here →					
Enter Basic Filing Fee (Utility Patent-\$690/Design Patent-\$310) Here →					690
Total Application Filing Fee					1212

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 26 day of March, 2001.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813

By:   
Name: Ann M. Mueeting  
Reg. No.: 33,977  
Direct Dial: 612-305-1217  
Facsimile: 612-305-1228

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT

Docket No. 265.0023 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stanton et al. )  
Serial No.: 09/641,801 )  
Confirmation No.: Unknown )  
Filed: August 17, 2000 )  
For: USE OF COLOSTRININ, CONSTITUENT PEPTIDES THEREOF, AND  
ANALOGS THEREOF FOR INDUCING CYTOKINES )

Group Art Unit: 1645  
Examiner: Unknown

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application," enclosed is an executed Declaration by the named inventors. Enclosed is our check in the amount of \$130.00 for the missing parts surcharge. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

Respectfully submitted,

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 26 day of March, 2001.

*Ann M. Mueeting*  
Ann M. Mueeting

Stanton et al.  
By their Representatives,  
Mueeting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
Telephone (612)305-1220  
Facsimile (612)305-1228  
Customer Number 26813

March 26, 2001  
Date

By: *Ann M. Mueeting*  
Ann M. Mueeting  
Reg. No. 33,977  
Direct Dial (612) 305-1217



PATENT  
Docket No. 265.0023 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Stanton et al. )  
Serial No.: 09/641,801 )  
Filed: August 17, 2000 )  
For: USE OF COLOSTRININ, CONSTITUENT PEPTIDES THEREOF, AND  
ANALOGS THEREOF FOR INDUCING CYTOKINES )

Group Art Unit: 1645  
Examiner: Unknown

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Upon review of the Filing Receipt received from the U.S. Patent and Trademark Office in connection with the above-identified application, the following error was noted.

The total number of independent claims originally filed is 6, not 3.

A copy of the Filing Receipt with this correction marked in red is enclosed.

Applicants respectfully request a corrected Filing Receipt.

If the Examiner has any questions regarding this submission, please contact Applicants' Representative at the below-listed telephone number.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 26 day of March 2001.

*Ann M. Mueeting*  
Ann M. Mueeting

Respectfully submitted,

Stanton et al.  
By their Representatives,  
Mueeting, Raasch & Gebhardt, P.A.  
P.O. Box 581415  
Minneapolis, MN 55458-1415  
Telephone (612)305-1220  
Facsimile (612)305-1228  
Customer Number 26813

March 26, 2001  
Date

By: *Ann M. Mueeting*  
Ann M. Mueeting  
Reg. No. 33,977  
Direct Dial (612) 305-1217

LMG



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/641,801	08/17/2000	1645	0	265.0023 0101		36	X 6

Mueting Raasch & Gebhardt PA  
P O Box 581415  
Minneapolis, MN 55458-1415

11/17/00 IDS

**FILING RECEIPT**



\*OC000000005433990\*

Date Mailed: 09/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

G. John Stanton, Texas City, TX ;  
Thomas K. Hughes, JR., Galveston, TX ;  
Istvan Boldogh, Galveston, TX ;  
Jerzy A. Georgiades, Houston, TX ;

**Continuing Data as Claimed by Applicant**

THIS APPLN CLAIMS BENEFIT OF 60/149,311 08/17/1999

**Foreign Applications**

If Required, Foreign Filing License Granted 09/27/2000

**Title**

Use of colostrinin, constituent peptides thereof, and analogs thereof for inducing cytokines

**Preliminary Class**

424

FILED

OCT 03 2000

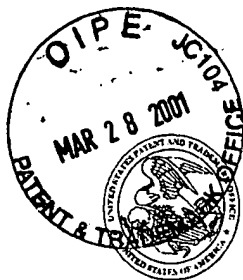
MUETING AND RAASCH

Data entry by : TUTT, TONDENICA

Team : OIPE

Date: 09/28/2000





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/641,801	08/17/2000	G. John Stanton	265.0023 0101

Mueting Raasch & Gebhardt PA  
P O Box 581415  
Minneapolis, MN 55458-1415

## FORMALITIES LETTER



\*OC000000005433991\*

Date Mailed: 09/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Repln. Ref: 06/11/2001 DTHOMAS 0011504700  
DAH:134895 Name/Number:09641801  
Filing Date Granted \$26.00 CR

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

06/11/2001 DTHOMAS 00000009 09641801

- 01 FC:202 • The statutory basic filing fee is missing.  
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).  
• Total additional claim fee(s) for this application is \$288 FC:103 -288.00 DP

Repln. Ref: 06/11/2001 DTHOMAS 0011504700

DAH:134895 Name/Number:09641801

FC: 704 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

06/11/2001 DTHOMAS 00000008 09641801

- The balance due by applicant is \$ 1108.

01 FC:203

144.00 DP

06/11/2001 DTHOMAS 00000005 09641801

01 FC:205 65.00 DP

Adjustment date: 06/11/2001 DTHOMAS  
03/30/2001 AGOITOM 00000027 09641801  
01 FC:101 -710.00 DP

Repln. Ref: 06/11/2001 DTHOMAS 0011504700

DAH:134895 Name/Number:09641801

FC: 704 Initial Patent Examination Division (703) 308-1202

06/11/2001 DTHOMAS 00000007 09641801 CR

01 FC:201 355.00 DP

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 06/11/2001 DTHOMAS 0011260800  
DAH:134895 Name/Number:09641801  
FC: 704 \$1000.00 CR